

CIPC ANNUAL RETURN COMPLIANCE CHECKLIST

COMPANY NAME EB CONSULTING (PTY) LTD

REGISTRATION NUMBER 2015/180429/017

Before the CIPC Annual Return can be submitted, CIPC now require that a compliance checklist be completed an

An annual return cannot be lodged without the compliance checklist and financial accountability statement bein first

Please note

- please answer all questions
- YES, if your company is compliant
- NO, if your company "should have but did not comply"
- N/A, if your company "had no need to" or "does not apply"

YES NO

1. Section 04 Solvency and Liquidity

Did any of the following occur during the financial year

- | | | |
|--|---|---|
| a) distributions of any nature including dividends | y | x |
| b) sale of shares for financial assistance | y | x |
| c) financial assistance provided to directors or group companies | y | x |
| d) capitalisation of shares with a cash alternative | y | x |
| e) buy back of shares | y | x |
| f) amalgamation and or merger of companies | y | x |

If YES, has a solvency and liquidity test been done ? y n

2. Section 15 Memorandum of Incorporation

Does the company have a Memorandum of Incorporation that is consistent with the Act and, if applicable, has the company filed any rules relating to the governance of the company? x n

3. Section 26 Access to Company Records

Has the company fully complied with any requests for access to company records or information? x n

i.e. MOI, shareholders minutes and communications, financial statements, directors register and/or company share register

4. Section 27 Financial Year End

Was the financial year end of the company changed? y x

	If YES, was the relevant notice filed with CIPC	y	n
5.	Section 28	Accounting Records	
	Does the company keep accurate and complete accounting records that are accessible from its registered office?	x	n

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		YES	NO
6.	Section 29	Annual Financial Statements	
	Do the company's financial statements comply with the relevant reporting standards, fairly present the affairs and business activities of the company, reflect its assets, liabilities and equity as well as the income and expenditure?	x	n
	Do the company's financial statements comply with any an all other prescribed information, including whether or not the financial statements are independently audited or reviewed?	x	n
7.	Section 30	Annual Financial Statements	
	Have the annual financial statements been complied within 6 months of its financial year end and, if necessary, have the annual financial statements been audited and an AGM been held?	y	x
8.	Section 32	Use of Company Name and Registration Number	
	Has the company used its registered name and registration number in conformity with the registration certificate as issued by CIPC on all company documents and notices?	x	n
9.	Section 33	CIPC Annual Return	
	Did the company file its annual return with CIPC for the preceeding year?	x	n
10.	Section 44	Financial Assistance for Shares	
	Did the company provide any financial assistance for shares and if so	y	n
	a) was it covered by a shareholders resolution?	y	n
	b) was a solvency and liquidity test done?	y	n

x	
n/a	
2	
N/A	
n/a	
n/a	
n/a	
n/a	
n/a	
x	
x	
x	

11.	Section 45	Loans or Financial Assistance to Directors		
	Did the company loan money or provide direct or indirect assistance to directors or prescribed officers and if so		y	n
	a)	was it covered by a shareholders resolution?	y	n
	b)	was a solvency and liquidity test done?	y	n
12.	Section 50	Securities Register		
	Does the company have and maintain a securities register, in respect of each class or securities issued, in the prescribed form and in compliance with the Act?		x	n
13.	Section 61	Shareholders Meetings		
	Were all shareholders' meetings convened as required by the Act and in compliance with the Memorandum of Incorporation?		y	n
14.	Section 66	Appointment of Board of Directors and Officers		
	Does the board of directors comprise the minimum number of directors as prescribed by the Act or MOI and are all the directors / officers eligible and not disqualified?		x	n
15.	Section 69	Ineligible, Delinquent & Disqualified Directors or Officers		
	Are all appointed directors and prescribed officers of the company eligible to hold office?		x	n

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			YES	NO
16.	Section 70	Board Vacancies		
	Where the MOI has fixed term appointments and a director has vacated his office, has the number of directors fallen below the minimum number specified and has the company make an additional appointment to cover the vacancy in compliance with the provisions of the Act.		y	n
17.	Section 71	Removal of Directors		
	Were any directors removed from office and if so, was the removal in compliance with the provisions of the Act?			x
18.	Section 86	Mandatory Appointment of Secretary		
	If the MOI calls for a company secretary, has a company secretary been appointed and does the person appointed have knowledge in compliance, company law and governance?		y	n

